

DEC 18 2006

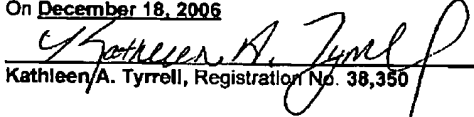
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: PENN-0789
Inventors: Siegel et al.
Serial No.: 10/046,504
Filing Date: October 19, 2001
Examiner: Fubara, Blessing M.
Customer No.: 26259
Group Art Unit: 1615
Confirmation No.: 3358
Title: Polymer-based Surgically
Implantable Haloperidol Delivery
Systems and Methods for Their
Production and Use

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On December 18, 2006


Kathleen A. Tyrrell, Registration No. 38,350

Commissioner for Patents
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Alexandria, VA 22313-1450

Dear Sir:

Amendment under 35 C.F.R. 1.116

This is a reply to the Office Action mailed October 19,
2006 setting a three (3) month statutory period for
response. Please enter the following remarks into the
record.

Remarks begin on page 2 of this paper.

DEC 18 2006

FACSIMILE COVER SHEET

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December 18, 2006

TO: Examiner Fubara
GROUP: 1618
CONFIRMATION NO: 3358
FAX NUMBER: 571-273-8300
ATTORNEY DOCKET NO.: PENN-0789
SERIAL NO.: 10/046,504
FILED: October 19, 2001
NUMBER OF PAGE: 13

MESSAGE:

Attached please find Amendment Transmittal Letter, Reply under 37 CFR 1.116 to Office Action mailed October 19, 2006, with Declaration by inventor Dr. Siegel and Certificate of Transmission by Facsimile.

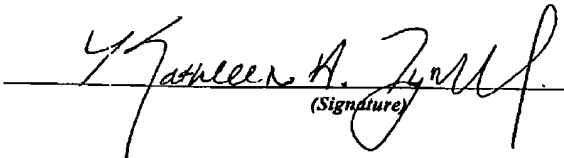
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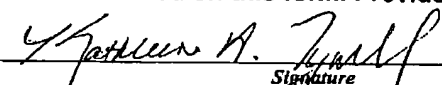
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Siegel et al.			Docket No. PENN-0789	
Application No. 10/046,504	Filing Date October 19, 2001	Examiner Fubara, Blessing M.	Group Art Unit 1618	
Invention: Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production...				
<p>I hereby certify that this <u>Reply under 35 CFR 1.116 & Declaration by Dr. Siegel</u> <small>(Identify type of correspondence)</small></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>)</p> <p>on <u>December 18, 2006</u> <small>(Date)</small></p> <div style="text-align: right; margin-top: 100px;"> <u>Kathleen A. Tyrrell</u> <small>(Typed or Printed Name of Person Signing Certificate)</small>  <small>(Signature)</small> </div> <p style="text-align: center; margin-top: 50px;"> Note: Each paper must have its own certificate of mailing. </p>				

DEC 18 2006

AMENDMENT TRANSMITTAL LETTER (Small Entity)					Docket No. PENN-0789	
Applicant(s): Siegel et al.						
Application No. 10/046,504	Filing Date October 19, 2001	Examiner Fubara, Blessing M.	Customer No. 26259	Group Art Unit 1618	Confirmation No. 3358	
Invention: Polymer-based Surgically Implantable Haloperidol Delivery Systems and Methods for Their Production and Use						
COMMISSIONER FOR PATENTS:						
Transmitted herewith is an amendment in the above-identified application.						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						
The fee has been calculated and is transmitted as shown below.						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	8 -	20 =	0	x \$25.00	\$0.00	
INDEP. CLAIMS	2 -	3 =	0	x \$100.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1619 <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
 Kathleen A. Tyrrell, Reg. No. 38,350			Dated: December 18, 2006			
CC:			I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date)			
			Signature of Person Mailing Correspondence			
			Typed or Printed Name of Person Mailing Correspondence			

P11SMALL/REV10